Nonlinear Mode Penetration Caused by Transient Magnetic Perturbations

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• Background (0) and transient (T) contributions









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 - Large transient precipitates transition to a low-slip state, with penetrated $B_{\rm res}$
 - Small transient returns to a high-slip state, with screened $B_{\rm res}$









Motivation: External 3D Fields Cause Forced Magnetic Reconnection

- Externally applied 3D fields force magnetic reconnection (FMR)
- Islands can lock plasma to 3D field structure
- Fundamental physics governed by external forcing, flow, resistivity, and viscosity







Motivation: Transient MHD Events Cause Forced Magnetic Reconnection

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- Transient MHD events are an additional source of 3D fields





Motivation: ELM Can Precipitate Transition to ELM-Free State

- Externally applied 3D fields force magnetic reconnection (FMR)
 - Islands can lock plasma to 3D field structure
 - Fundamental physics governed by external forcing, flow, resistivity, and viscosity
- Transient MHD events are an additional source of 3D fields; can induce transition
 - ELM can trigger ELM-suppressed state for large resonant magnetic perturbation (RMP)
 - Paz Soldan et al., PRL (2015); Nazikian et al., PRL (2015); Callen et al., UW-CPTC Report 16-4









Motivation: ELMs and Sawteeth Can Precipitate NTM Growth

- Externally applied 3D fields force magnetic reconnection (FMR)
 - Islands can lock plasma to 3D field structure
- Fundamental physics governed by external forcing, flow, resistivity, and viscosity
- Transient MHD events are an additional source of 3D fields; can induce transition
 - NTMs can be seeded by ELMs/sawteeth
 - La Haye, private communication (2016)









Motivation: NTMs Lead to Locked Mode Disruptions

- The largest cause of disruptions in JET are NTMs that grow and lock
 - de Vries et al., NF (2011)
 - 86% of NTMs triggered by sawteeth and 7% by ELMs







Mode Penetration Determined by Transient-Induced Force Evolution at Rational Surface

- **Begin in time-asymptotic, metastable state**
- Background external 3D magnetic field *B*_{ext.0} is flow-screened
- Electromagnetic (EM) and viscous forces balance





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- Transient turns off and system continues to evolve
- Mutual evolution of forces determines final state









Explore dynamics of transient perturbation in slab geometry

Computational results elucidate mode penetration dynamics

Develop analytic model of mode penetration dynamics







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Linear layer



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- Visco-Resistive dissipation parameters
 - $S = 1.1 \times 10^7$, $P_m = 20$
 - Linear layer width: $\delta_{VR} = S^{-1/3}P_m^{1/6}a = 7.4 \times 10^{-3}a$









• n=0 EM force per unit length in z at x=0

$\hat{F}_{a} =$	$\int^{\delta_{\mathrm{VR}}/2} dt$	$x \int^{L_y/2}$	$dy(\mathbf{J} \times \mathbf{B}) \cdot \hat{y} =$	$-\frac{n\pi}{10}$ Im	$\{B^*_{reg}\}$
у, ш түт •	$J_{-\delta_{ m VR}/2}$	$J_{-L_{y/2}}$		$\mu_0 k_y^2$	











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• Linear, time-asymptotic, visco-resistive response:

$$B_{\rm res} = \frac{a\Delta'_{\rm ext}}{-a\Delta' + i\omega_{\rm res}\tau_{\rm VR}} B_{\rm ext} \rightarrow \hat{F}_{y,EM} = -\frac{\omega_{\rm res}\tau_{\rm VR}}{(-a\Delta')^2 + (\omega_{\rm res}\tau_{\rm VR})^2}$$

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• Viscous force per unit length in z at x=0 $\hat{F}_{y,VS} = \int_{-\infty}^{\delta_{\delta}/2} dx \int_{-\infty}^{L_y/2} dy \left[\nabla \cdot \rho \nu \nabla \nabla \right] \cdot \hat{y} = L_y \rho \nu_0 \left[\partial_x V(x,t) \right]_{x=0}$









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 - Here, $\tau_{\rm VR} = 2.104 \tau_{\rm A} S^{2/3} P_m^{1/6}$ and $\tau'_{\rm VR} \equiv \tau_{\rm VR} / (-a\Delta')$
- System bifurcates for $\omega_0 > 3\sqrt{3}/\tau'_{VR}$
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- Two metastable equilibria: flow-screened and mode-penetrated
 - Shaded region is metastable
 - Existence of metastable equilibria enables transient-induced mode penetration







Transient Can Precipitate Transition Between Metastable Equilibria

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Outline

- - Time-asymptotic EM and viscous force balance
- Transient induced mode penetration needs metastable equilibrium

Develop analytic model of mode penetration dynamics



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Computational results elucidate mode penetration dynamics





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NIMROD Code Employed to Solve Visco-Resistive MHD Equations

- NIMROD capable of solving extended-MHD equations
 - Presently, assume cold plasma and ignore two-fluid effects







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- Time discretization uses finite difference
 - Implicit leapfrog time evolution
 - Evolve perturbation fields about a fixed equilibrium



$$\begin{split} \rho \left(\frac{\partial \mathbf{V}}{\partial t} + \mathbf{V} \cdot \boldsymbol{\nabla} \mathbf{V} \right) &= \mathbf{J} \times \mathbf{B} - \boldsymbol{\nabla} \cdot \boldsymbol{\Pi}_i \,, \\ \mathbf{\Pi}_i &\equiv -\rho \nu \left[\boldsymbol{\nabla} \mathbf{V} + \boldsymbol{\nabla} \mathbf{V}^T - \frac{2}{3} \boldsymbol{\nabla} \cdot \mathbf{V} \right], \\ \frac{\partial \mathbf{B}}{\partial t} &= -\boldsymbol{\nabla} \times \mathbf{E}, \ \mu_0 \mathbf{J} = \boldsymbol{\nabla} \times \mathbf{B}, \\ \mathbf{E} &= -\mathbf{V} \times \mathbf{B} + \eta \mathbf{J} \end{split}$$



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- Time discretization uses finite difference
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 - Evolve perturbation fields about a fixed equilibrium
- Spatial discretization uses 2D, C⁰, spectral elements Employ mesh packing at rational surface and edge



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Large Transient Induces Mode Penetration

- System properties
- $S = 1.1 \times 10^7$
- $P_m = 20$
- $V_0 = 500 \text{ m/s}$
- $B_{\text{ext},0} = 3 \times 10^{-4} \text{ T}$
- Transient properties
 - $B_{\text{ext},\text{T}} = 9 B_{\text{ext},0}$
 - $\Delta t_{\rm T} = 690 \, \tau_{\rm A}$ duration
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OF WISCONSIN–MADISON

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- Mode penetration forms nonlinear magnetic island











Flow Profile Evolution Determines Magnitude of Viscous Force

 Transient enhancement of viscous force due to local flow evolution

 $\hat{F}_{y,VS} = L_y \rho \nu_0 \left[\partial_x V(x,t') \right]_{x=0}$











Magnitude of Transient Is Critical For Flow Response and Mode Penetration

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Computed Field Response Is Similar to Experimental Observations









Mode Penetration Threshold Is Sensitive to Transient Shape

- Rise and fall time of transient parameterized as $T(t) = 1 - e^{-t/\tau_{\rm T}} - \frac{t}{\tau_{\rm T}} e^{-t/\tau_{\rm T}}$
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- Same time-integrated transient RMP can yield different final state!







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Computational results elucidate mode penetration dynamics

- Effects of transient perturbation on metastable equilibrium
- Parametric tests illustrate sensitivity of mode penetration

Develop analytic model of mode penetration dynamics









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Effect of Magnetic Transient Depends on EM and Viscous Force Evolution

 Hypothesis: If transient causes enough flow evolution, mode penetration occurs







Effect of Magnetic Transient Depends on EM and Viscous Force Evolution

- Hypothesis: If transient causes enough flow evolution, mode penetration occurs
- Flow evolution equation with EM and viscous forces:

$$\frac{\delta_{\rm VR} L_y \rho}{k_y} \frac{d\omega_{\rm res}}{dt} = \hat{F}_{\rm EM} + \hat{F}_{\rm V}$$
$$= -\frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[\partial_x B_{\rm res} \right]_{x=0} \right\} + \frac{n\pi}{\mu_0 k_y^2} \operatorname{Im} \left\{ B_{\rm res}^* \left[$$

- Transient magnetic perturbation causes forces to evolve
 - Directly increases EM force local to the rational surface
 - Local change in flow profile increases viscous force





n causes forces to evolve to the rational surface ases viscous force



$$\frac{dB_{\rm res}(t')}{dt} + \left[i\omega_{\rm res}(t') + \frac{a\Delta'}{\tau_{\rm VR}}\right]B_{\rm res}(t') = \frac{a\Delta'_{\rm ext}}{\tau_{\rm VR}}$$



Evolution of penetrated field governed by asymptotic matching of induction equation

- $\frac{\mathrm{t}}{2} \left[B_{\mathrm{ext},0} + B_{\mathrm{ext},\mathrm{T}} T(t') \right]$
- Background $B_{ext,0}$ is constant in time; transient $B_{ext,T}$ is applied with time-dependence T(t')



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• Solve ODE for B_{res} by using integration factor

$$B_{\rm res}(t') = \frac{\Delta_{\rm ext}'}{-\Delta'} \exp\left[-\frac{t'}{\tau_{\rm VR}'} - i\varphi_{\rm res}(t')\right] \left\{\frac{B_{\rm ext}}{1 + i\omega_{\rm res}} + \frac{B_{\rm ext,T}}{\tau_{\rm VR}'} \int_0^{t'} ds \exp\left[\frac{s}{\tau_{\rm VR}'} + i\varphi_{\rm res}(s)\right] T\right\}$$



Evolution of penetrated field governed by asymptotic matching of induction equation

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- Background $B_{ext,0}$ is constant in time; transient $B_{ext,T}$ is applied with time-dependence T(t')

 $\frac{\mathrm{xt},0}{\mathrm{s}(0)\tau'_{\mathrm{VR}}} + \frac{B_{\mathrm{ext},0}}{\tau'_{\mathrm{VR}}} \int_0^t ds \, \exp\left[\frac{s}{\tau'_{\mathrm{VR}}} + i\varphi_{\mathrm{res}}(s)\right]$ r(s)• History of flow frequency evolution quantified by $\varphi_{\rm res}(t') \equiv \int_0^{t'} ds \, \omega_{\rm res}(s)$



$$\frac{dB_{\rm res}(t')}{dt} + \left[i\omega_{\rm res}(t') + \frac{a\Delta'}{\tau_{\rm VR}}\right]B_{\rm res}(t') = \frac{a\Delta'_{\rm ext}}{\tau_{\rm VR}}$$

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$$B_{\rm res}(t') = \frac{\Delta_{\rm ext}'}{-\Delta'} \exp\left[-\frac{t'}{\tau_{\rm VR}'} - i\varphi_{\rm res}(t')\right] \left\{\frac{B_{\rm ext}}{1 + i\omega_{\rm res}} + \frac{B_{\rm ext,T}}{\tau_{\rm VR}'} \int_0^{t'} ds \exp\left[\frac{s}{\tau_{\rm VR}'} + i\varphi_{\rm res}(s)\right] T\right\}$$

- Separate contributions due to initially penetrated field and transient RMP penetration



Evolution of penetrated field governed by asymptotic matching of induction equation

- $\frac{\mathbf{t}}{\mathbf{b}} \left[B_{\text{ext},0} + B_{\text{ext},T} T(t') \right]$
- Background $B_{ext,0}$ is constant in time; transient $B_{ext,T}$ is applied with time-dependence T(t')

 $\frac{\frac{\mathrm{d}t,0}{\mathrm{s}(0)\tau'_{\mathrm{VR}}} + \frac{B_{\mathrm{ext},0}}{\tau'_{\mathrm{VR}}} \int_0^{t'} ds \exp\left[\frac{s}{\tau'_{\mathrm{VR}}} + i\varphi_{\mathrm{res}}(s)\right]$ $\Gamma(s)$ • History of flow frequency evolution quantified by $\varphi_{res}(t') \equiv \int_0^{t'} ds \, \omega_{res}(s)$



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- Separate contributions due to initially penetrated field and transient RMP penetration
- Quasilinear EM force $\hat{F}_{y,\mathrm{EM}}(t') = -\frac{n\pi}{\mu_0 k_y^2} \Delta$
 - Separate contributions of $B_{\rm res}$ interact with transiently-induced current



Evolution of penetrated field governed by asymptotic matching of induction equation

 $\frac{\mathrm{t}}{\mathrm{E}} \left[B_{\mathrm{ext},0} + B_{\mathrm{ext},\mathrm{T}} T(t') \right]$

 $\frac{x_{\rm t,0}}{s(0)\tau'_{\rm VR}} + \frac{B_{\rm ext,0}}{\tau'_{\rm VR}} \int_0^t ds \, \exp\left[\frac{s}{\tau'_{\rm VR}} + i\varphi_{\rm res}(s)\right]$ $\Gamma(s)$ • History of flow frequency evolution quantified by $\varphi_{res}(t') \equiv \int_0^{t'} ds \, \omega_{res}(s)$

$$\Delta_{\text{ext}}' \left[B_{\text{ext},0} + B_{\text{ext},T} T(t') \right] \operatorname{Im} \left\{ B_{\text{res}}^*(t') \right\}$$



• Evaluate $\hat{F}_{y,V} = L_y \rho \nu_0 \left[\partial_x V(x,t') \right]_{x=0}$ with evolving V(x,t')





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• Because EM force is localized at x=0, solve for flow profile in $\theta < |x| < a_{\nu}$



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- Separate contributions due to time-asymptotic and transient $V_{res}(t)$
- Using derived flow profile yields viscous force: $\hat{F}_{y,V}(t') = \frac{2L_y\rho\nu_0}{L_{1-1}} \bigg\{$ $\left[\omega_0 - \omega_{\rm res}(t')\right] + 2\left[\omega_{\rm res}(0) - \omega_{\rm res}(0)\right] + 2\left[\omega_{\rm res}(0) - \omega_{\rm$ $k_y a_\nu$



with evolving
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• Because EM force is localized at x=0, solve for flow profile in $\theta < |x| < a_{\nu}$

$$u_{\rm res}(t')]\sum_{n=1}^{\infty}\exp\left[-(n\pi)^2\frac{t'}{\tau_{\nu}}\right]$$



Model Of Self-Consistent Force Balance Exhibits Mode Penetration

 Balance EM and viscous forces against inertia, yields system of coupled PDEs:

 $\frac{\delta_{\rm VR} L_y \rho}{k_y} \frac{d\omega_{\rm res}(t')}{dt'} = \hat{F}_{\rm EM}(t') + \hat{F}_{\rm V}(t'), \ \frac{d\varphi_{\rm res}(t')}{dt'} = \omega_{\rm res}(t')$







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- Solution shown for transient with $B_{\text{ext,T}} = 9 B_{\text{ext,0}}, \Delta t_{\text{T}} = 690 \tau_{\text{A}}, \tau_{\text{T}} = 6.9 \tau_{\text{A}}$







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- EM and viscous forces balance in time-asymptotic, mode penetrated state
- Recoil directly following transient due to slow response of viscous force









Qualitative Agreement Between Analytical Model and Computational Results

- Agreement with NIMROD during transient
 - Analytics yield mode penetration threshold at $B_{\text{ext},\text{T}} = 4.75 B_{\text{ext},0}$ (not shown)
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- Agreement with NIMROD for island phase shift φ_B during transient







Transient RMP can precipitate mode penetration

• Initial state must satisfy threshold for metastable state to exist $\omega_0 > 3\sqrt{3}/\tau'_{_{\rm VR}}$







Conclusions

Transient RMP can precipitate mode penetration

- Initial state must satisfy threshold for metastable state to exist $\omega_0 > 3\sqrt{3}/\tau'_{_{\rm VR}}$
- Computational results explore mode penetration dynamics
- Threshold sensitive to transient parameterization
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Take-away: While analytic models provide rough criteria for mode penetration due to transient RMPs, computational models are necessary for accurate dynamical predictions



Qualitative agreement between analytical and computational results



